

# Learning a DFA

Sunday, September 29, 2019 8:25 PM

Seeing an unknown DFA in action,  
i.e. accepting some  $x$ 's and not others,  
figure out the DFA.

How to formalize this?

Can ask: does  $x \in L$ ?

Not enough!

For any finite list of  $x$ 's there are  
multiple distinct DFAs.

Has about: Find smallest DFA that  
accepts  $\{x_1, x_2, \dots\}$  — FINITE.  
and does not accept  $\{y_1, y_2, \dots\}$

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This is a computationally HARD problem.  
(NP-hard).

But doable: enumerate all DFA's in order of size, and check each one till you find one that works.

TIME: EXPONENTIAL in size of smallest DFA.

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LEARNING MODEL.

- can ask  $x \in L$ ?  $\begin{cases} \text{YES} \\ \text{NO} \end{cases}$

- can also ask: is  $D$  the DFA?

- YES ✓

- NO - counterexample string.

Problem: Using only the above  
MEMBERSHIP and EQUIVALENCE queries,  
find the unknown DFA (or one that  
accepts the same language)

Sound Familiar?!

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## ANGLUIN'S ALGORITHM.

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For simplicity assume  $\Sigma = \{0, 1\}$ .

Maintain set of candidate states and  
set of query strings

Observation Table.

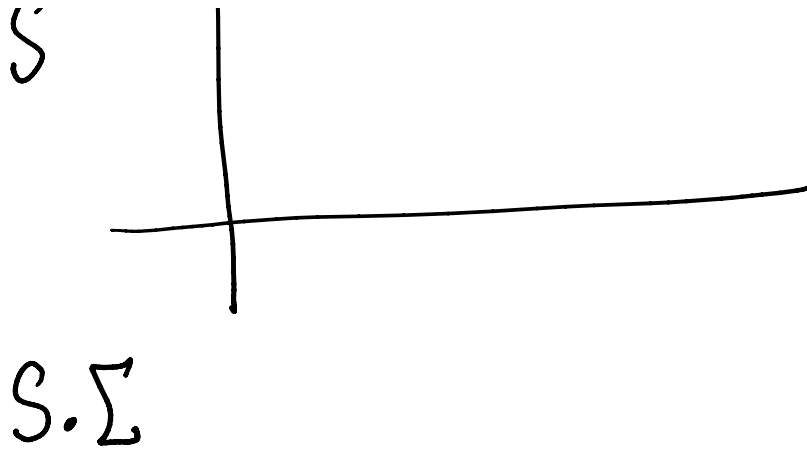
|   |     |    |
|---|-----|----|
|   |     | E  |
|   |     | x- |
| S | -s- |    |

S labeled by bit strings.

S is prefix closed

1101 ∈ S

→ 11011 ∈ S



1101 --  
 $\Rightarrow$  110, 11, 1  $\in$  S

E is suffix closed

1101  $\in$  E

$\Rightarrow$  101, 01, 1  $\in$  E

$$T(s, x) = \begin{cases} 1 & s \cdot x \in L \\ 0 & \text{o.w.} \end{cases}$$

Idea: distinct rows of S are states

closed: rows of S.Σ are included in S.

consistent: if  $\text{row}(s_1) = \text{row}(s_2)$ , then  $\forall a \in \Sigma$   
 $\text{row}(s_1 \cdot a) = \text{row}(s_2 \cdot a)$ .

GOAL: Find a closed, consistent observation table.

If not closed, move rows of S.Σ to S.

If not consistent, add to E.

Keep S prefix closed, E suffix closed.

Given closed, consistent table, create

Given closed, consistent table, create DFA from it:

$$Q = \{ \text{rows}(s) : s \in S \}$$

$$q_0 = \text{rows}(\varepsilon)$$

$$F = \{ \text{rows}(s) : T(s) = 1 \}$$

$$\delta(\text{rows}(s), a) = \text{rows}(s \cdot a)$$

Propose this DFA.

If counterexample, add to  $S$ , with all its prefixes.

Example:

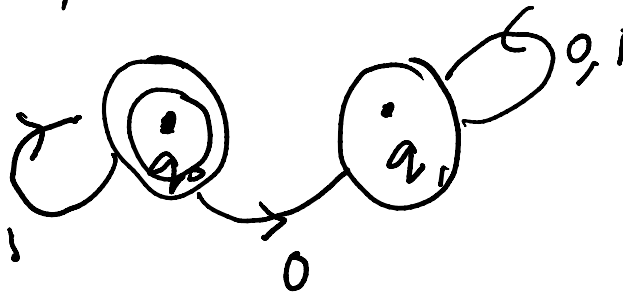
|               |               |
|---------------|---------------|
|               | $\varepsilon$ |
| $\varepsilon$ | 1             |
| 0             | 0             |
| 1             | 1             |

not closed



|            |            |
|------------|------------|
|            | $\epsilon$ |
| $\epsilon$ | 1          |
| 0          | 0          |
| 1          | 1          |
| 00         | 0          |
| 01         | 0          |

closed, consistent



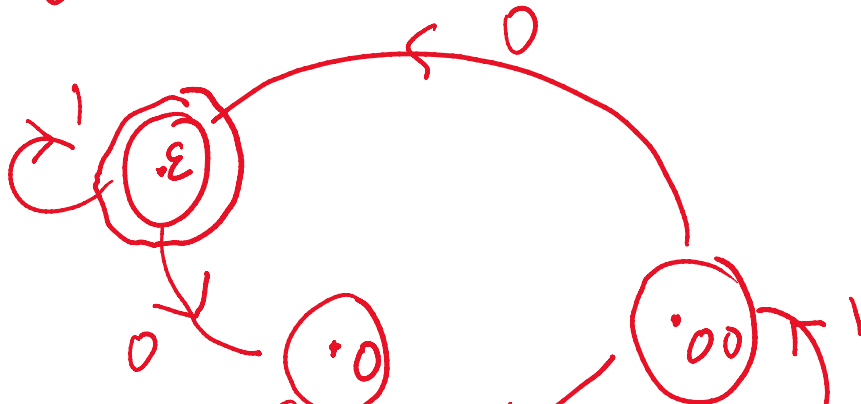
counter example: 000  $T(000) = 1$

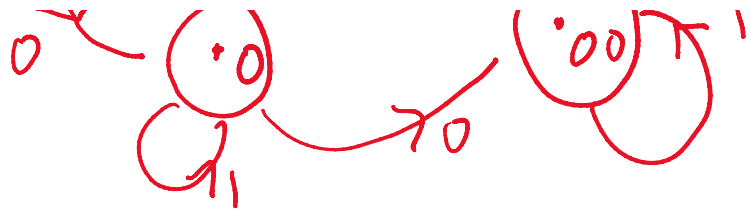
|            |            |   |
|------------|------------|---|
|            | $\epsilon$ | 0 |
| $\epsilon$ | 1          | 0 |
| 0          | 0          | 0 |
| 00         | 0          | 1 |
| 000        | 1          | 0 |
| 1          | 1          | 0 |
| 01         | 0          | 0 |
| 001        | 0          | 1 |
| 0000       | 0          | 0 |
| 0001       | 1          | 0 |

closed

not consistent since  
 $row(0.0) \neq row(00.0)$   
 so add 0 to  $\bar{E}$

closed, consistent.





accepts in #0's is divisible by 3.

Lemma 1 For a closed consistent table

$$\forall s \in S \cup \Sigma, \delta(q_0, s) = \text{row}(s)$$

Lemma 2. For a closed, consistent table

$$\forall s \in S \cup \Sigma, e \in E, \delta(q_0, s \cdot e) \in F \text{ iff } T(s \cdot e) = 1$$

Lemma 3. Any DFA consistent with  $T$  must have at least  $|\{ \text{row}(s) : s \in S \}|$  states.